



Applicant or Patentee: Jan C. Zawadzki, Christopher E. Dornsife, Edward F. Ross, Margaret Tan, Jason Manosh, Dennis Bertken, Denise Rolen, Mark Loveland, Michael Basa

Serial or Patent No.: 09/436,146

Filed or Issued: November 9, 1999

For: **CENTRALIZED SYSTEM AND METHOD FOR MANAGING ENTERPRISE OPERATIONS**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: TriPort Technologies, Inc.
ADDRESS OF CONCERN: 16787 Bernardo Center Dr., Suite A-7
San Diego, CA 92128

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **CENTRALIZED SYSTEM AND METHOD FOR MANAGING ENTERPRISE OPERATIONS**, by Jan C. Zawadzki, Christopher E. Dornsife, Edward F. Ross, Margaret Tan, Jason Manosh, Dennis Bertken, Denise Rolen, Mark Loveland, Michael Basa described in

- ☐ the specification filed herewith
- ☒ the application serial no. 09/436,146, filed November 9, 1999.
- ☐ patent no. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Douglas B. Gordon

TITLE OF PERSON SIGNING: Chief Operating Officer

ADDRESS OF PERSON SIGNING: 16787 Bernardo Center Dr., Suite A-7

San Diego, CA 92128

SIGNATURE Douglas B. Gordon DATE 5/3/00



Utility Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **CENTRALIZED SYSTEM AND METHOD FOR MANAGING ENTERPRISE OPERATIONS** the specification of which

(Check One)

☐

is attached hereto OR

☒

was filed on November 9, 1999 as United States Application Serial No. 09/436,146 or PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date
60/108,261	November 12, 1998

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned

POWER OF ATTORNEY: As a named inventor, I hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified invention application, all of the registered practioners identified by Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600

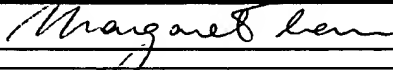
Please send all correspondence to the attention of **JOHN M. BENASSI**, and direct all telephone calls to **(858) 552-8400, Extension 5551**.

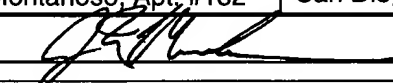
I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	FIRST Name Jan	MIDDLE Initial C.	LAST Name Zawadzki	
	RESIDENCE & CITIZENSHIP	City Carlsbad	State or Foreign Country CA		Country of Citizenship Poland
	POST OFFICE ADDRESS	1857 Palisades Drive	City Carlsbad	State or Country CA	Zip Code 92008
INVENTOR'S SIGNATURE					DATE 12/14/1999

202	FULL NAME OF INVENTOR	FIRST Name Christopher	MIDDLE Initial E.	LAST Name Dornsife	
	RESIDENCE & CITIZENSHIP	City Poway	State or Foreign Country CA		Country of Citizenship U.S.A.
	POST OFFICE ADDRESS	12847 Firbrook Lane	City Poway	State or Country CA	Zip Code 92064
INVENTOR'S SIGNATURE					DATE 12-14-1999

203	FULL NAME OF INVENTOR	FIRST Name Edward	MIDDLE Initial F.	LAST Name Ross	
	RESIDENCE & CITIZENSHIP	City Carlsbad	State or Foreign Country CA		Country of Citizenship U.S.A.
	POST OFFICE ADDRESS	705 Magnolia Avenue, Apt. I	City Carlsbad	State or Country CA	Zip Code 92008
INVENTOR'S SIGNATURE					DATE 12/14/1999

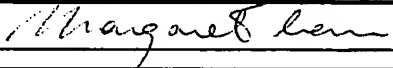
204	FULL NAME OF INVENTOR	FIRST Name Margaret	MIDDLE Initial	LAST Name Tan	
	RESIDENCE & CITIZENSHIP	City Oceanside	State or Foreign Country CA	Country of Citizenship Malaysia	
	POST OFFICE ADDRESS	835 Muirwood Drive	City Oceanside	State or Country CA	Zip Code 92057
INVENTOR'S SIGNATURE				DATE 12/14/99	

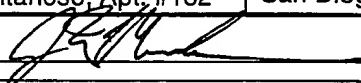
205	FULL NAME OF INVENTOR	FIRST Name Jason	MIDDLE Initial	LAST Name Manosh	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	11255 Paseo Montanoso, Apt. #182	City San Diego	State or Country CA	Zip Code 92127
INVENTOR'S SIGNATURE				DATE 12-14-99	

206	FULL NAME OF INVENTOR	FIRST Name Dennis	MIDDLE Initial	LAST Name Bertken	
	RESIDENCE & CITIZENSHIP	City Encinitas	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	3532 Dove Hollow Road	City Encinitas	State or Country CA	Zip Code 92024
INVENTOR'S SIGNATURE				DATE	

207	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name Rolen	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	14895 Del Diablo Lane	City San Diego	State or Country CA	Zip Code 92129
INVENTOR'S SIGNATURE				DATE	

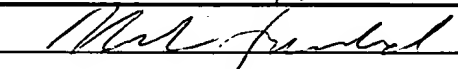
208	FULL NAME OF INVENTOR	FIRST Name Mark	MIDDLE Initial	LAST Name Loveland	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	4010 Grayson Drive	City San Diego	State or Country CA	Zip Code 92130
INVENTOR'S SIGNATURE				DATE	

204	FULL NAME OF INVENTOR	FIRST Name Margaret	MIDDLE Initial	LAST Name Tan	
	RESIDENCE & CITIZENSHIP	City Oceanside	State or Foreign Country CA	Country of Citizenship Malaysia	
	POST OFFICE ADDRESS	835 Muirwood Drive	City Oceanside	State or Country CA	Zip Code 92057
INVENTOR'S SIGNATURE				DATE 12/14/99	

205	FULL NAME OF INVENTOR	FIRST Name Jason	MIDDLE Initial	LAST Name Manosh	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	11255 Paseo Montanoso, Apt. #182	City San Diego	State or Country CA	Zip Code 92127
INVENTOR'S SIGNATURE				DATE 12-14-99	

206	FULL NAME OF INVENTOR	FIRST Name Dennis	MIDDLE Initial	LAST Name Bertken	
	RESIDENCE & CITIZENSHIP	City Encinitas	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	3532 Dove Hollow Road	City Encinitas	State or Country CA	Zip Code 92024
INVENTOR'S SIGNATURE				DATE	

207	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name Rolen	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	14895 Del Diablo Lane	City San Diego	State or Country CA	Zip Code 92129
INVENTOR'S SIGNATURE				DATE	

208	FULL NAME OF INVENTOR	FIRST Name Mark	MIDDLE Initial	LAST Name Loveland	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	4010 Grayson Drive	City San Diego	State or Country CA	Zip Code 92130
INVENTOR'S SIGNATURE				DATE 12/17/99	

204	FULL NAME OF INVENTOR	FIRST Name Margaret	MIDDLE Initial	LAST Name Tan	
	RESIDENCE & CITIZENSHIP	City Oceanside	State or Foreign Country CA	Country of Citizenship Malaysia	
	POST OFFICE ADDRESS	835 Muirwood Drive	City Oceanside	State or Country CA	Zip Code 92057
INVENTOR'S SIGNATURE <u>Margaret Tan</u>			DATE <u>12/14/99</u>		

205	FULL NAME OF INVENTOR	FIRST Name Jason	MIDDLE Initial	LAST Name Manosh	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	11255 Paseo Montanoso, Apt. #182	City San Diego	State or Country CA	Zip Code 92127
INVENTOR'S SIGNATURE <u>Jason Manosh</u>			DATE <u>12-14-99</u>		

206	FULL NAME OF INVENTOR	FIRST Name Dennis	MIDDLE Initial	LAST Name Bertken	
	RESIDENCE & CITIZENSHIP	City Encinitas	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	3532 Dove Hollow Road	City Encinitas	State or Country CA	Zip Code 92024
INVENTOR'S SIGNATURE _____			DATE _____		

207	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name Rolen	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	14895 Del Diablo Lane	City San Diego	State or Country CA	Zip Code 92129
INVENTOR'S SIGNATURE <u>Denise Rolen</u>			DATE <u>12/25/99</u>		

208	FULL NAME OF INVENTOR	FIRST Name Mark	MIDDLE Initial	LAST Name Loveland	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	4010 Grayson Drive	City San Diego	State or Country CA	Zip Code 92130
INVENTOR'S SIGNATURE _____			DATE _____		

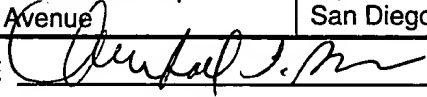
204	FULL NAME OF INVENTOR	FIRST Name Margaret	MIDDLE Initial	LAST Name Tan	
	RESIDENCE & CITIZENSHIP	City Oceanside	State or Foreign Country CA	Country of Citizenship Malaysia	
	POST OFFICE ADDRESS	835 Muirwood Drive	City Oceanside	State or Country CA	Zip Code 92057
INVENTOR'S SIGNATURE <u>Margaret Tan</u>			DATE <u>12/14/99</u>		

205	FULL NAME OF INVENTOR	FIRST Name Jason	MIDDLE Initial	LAST Name Manosh	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	11255 Paseo Montanoso, Apt. #182	City San Diego	State or Country CA	Zip Code 92127
INVENTOR'S SIGNATURE <u>Jason Manosh</u>			DATE <u>12-14-99</u>		

206	FULL NAME OF INVENTOR	FIRST Name Dennis	MIDDLE Initial	LAST Name Bertken	
	RESIDENCE & CITIZENSHIP	City Encinitas	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	3532 Dove Hollow Road	City Encinitas	State or Country CA	Zip Code 92024
INVENTOR'S SIGNATURE <u>Dennis Bertken</u>			DATE <u>1/2/00</u>		

207	FULL NAME OF INVENTOR	FIRST Name Denise	MIDDLE Initial	LAST Name Rolen	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	14895 Del Diablo Lane	City San Diego	State or Country CA	Zip Code 92129
INVENTOR'S SIGNATURE _____			DATE _____		

208	FULL NAME OF INVENTOR	FIRST Name Mark	MIDDLE Initial	LAST Name Loveland	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	4010 Grayson Drive	City San Diego	State or Country CA	Zip Code 92130
INVENTOR'S SIGNATURE _____			DATE _____		

209	FULL NAME OF INVENTOR	FIRST Name Michael	MIDDLE Initial	LAST Name Basa	
	RESIDENCE & CITIZENSHIP	City San Diego	State or Foreign Country CA	Country of Citizenship U.S.A.	
	POST OFFICE ADDRESS	13123 Roundup Avenue	City San Diego	State or Country CA	Zip Code 92129
INVENTOR'S SIGNATURE				DATE 12/20/99	